



# EQUITABLE

For Assistance: Call (800) 628-6673  
Monday – Thursday  
8:00 a.m. – 7:00 p.m. EST  
Friday 8:00 a.m. – 5:00 p.m. EST

## EQUI-VEST® VANTAGE<sup>SM</sup> ASSET REBALANCING ACCEPTANCE FORM NJ ACTS and ABP

**Express Mail:**  
Equitable  
EQUI-VEST Processing Office  
100 Madison St., Suite 1000  
Syracuse, N.Y. 13202  
**Regular Mail:**  
Equitable  
EQUI-VEST Processing Office  
P.O. Box 4956  
Syracuse, N.Y. 13221  
**Fax Number:**  
(816) 701-4969

### 1. PARTICIPANT INFORMATION *(Certificate number must be provided to process this request)*

NAME (Last Name, First Name, Middle Initial)

CERTIFICATE NUMBER

ADDRESS

SOCIAL SECURITY NUMBER

CITY/STATE/ZIP

DATE OF BIRTH

DAYTIME PHONE NUMBER

MOBILE PHONE NUMBER

EMAIL ADDRESS

### 2. ASSET REBALANCING STATUS

Are you electing to begin Asset Rebalancing?  Yes  No If yes, complete all sections  
Is this a change to an existing Asset Rebalancing election?  Yes  No If yes, complete all sections  
Would you like to discontinue Asset Rebalancing?  Yes  No If yes, complete sections 1, 2 and 6.  
If the answer is yes to any of the questions above, this election will supersede the existing election.

### 3. PROGRAM ELECTION

Please elect one of the options.

**NOTE:** If you are currently enrolled in the Asset Rebalancing program (now titled Option I) and would like to elect to include the Guaranteed Interest Option (GIO) (Option II) check Option II below and indicate in section 2 that this is a change to an existing Asset Rebalancing election.

- Option I — Only the variable investment options will be included in the Asset Rebalancing program.
- Option II — The variable investment options and the GIO will be included in the Asset Rebalancing program.

### 4. FREQUENCY OF REBALANCING — *Select one frequency only.*

All Asset Rebalancing transactions will be processed on the first business day of the month based on your selection. Asset Rebalancing transactions cannot begin in the current month or retroactively.

- A. Calendar Quarter—*If you choose calendar quarter, please indicate the quarter to begin the first Asset Rebalancing transaction:*  January  April  July  October
- B.  Semiannual
- C.  Annual

If you choose Semiannual or Annual, please indicate **any month** and the year to begin the first Asset Rebalancing transaction:

\_\_\_\_\_ Month

\_\_\_\_\_ Year

## 5. CHOOSE YOUR VARIABLE INVESTMENT OPTIONS AND ASSET REBALANCING PERCENTAGES

The following percentages must be in whole numbers and add up to 100%.

_____ % 1290 VT GAMCO Small Company Value (37*)	_____ % EQ/MFS International Growth (26*)
_____ % 1290 VT SmartBeta Equity ESG (9C*)	_____ % EQ/MFS Mid Cap Growth Focused Growth (9P*)
_____ % 1290 VT Socially Responsible (92*)	_____ % EQ/MFS Technology (9S*)
_____ % EQ/400 Managed Volatility (7L*)	_____ % EQ/MFS Utilities Series (9T*)
_____ % EQ/AB Small Cap Growth (TP*)	_____ % EQ/Mid Cap Index (55*)
_____ % EQ/Aggressive Allocation (18*)	_____ % EQ/Moderate Allocation (T4*)
_____ % EQ/American Century Mid Cap Value (9J*)	_____ % EQ/Money Market (T3*)
_____ % EQ/Balanced Strategy (8Q*)	_____ % EQ/Morgan Stanley Small Cap Growth (9Y*)
_____ % EQ/ClearBridge Select Equity Managed Volatility (6F*)	_____ % EQ/PIMCO Global Real Return (8Y*)
_____ % EQ/Common Stock Index (T1*)	_____ % EQ/PIMCO Ultra Short Bond (28*)
_____ % EQ/Conservative Allocation (15*)	_____ % EQ/Small Company Index (97*)
_____ % EQ/Core Bond Index (96*)	_____ % EQ/T. Rowe Price Growth Stock (32*)
_____ % EQ/Core Plus Bond (TH*)	_____ % EQ/Wellington Energy (9O*)
_____ % EQ/Emerging Markets Equity PLUS (8W*)	_____ % American Funds Insurance Series The Bond Fund of America (8V*)
_____ % EQ/Equity 500 Index (TE*)	_____ % Delaware Ivy VIP High Income (8G*)
_____ % EQ/Fidelity Institutional AM® Large Cap (9K*)	_____ % Guaranteed Interest Option (A1*)†
_____ % EQ/International Core Managed Volatility (88*)	_____ % Multimanager Aggressive Equity (T2*)
_____ % EQ/International Equity Index (TN*)	_____ % Multimanager Core Bond (69*)
_____ % EQ/International Value Managed Volatility (73*)	_____ % Target 2015 Allocation (6G*)
_____ % EQ/Invesco Global (6A*)	_____ % Target 2025 Allocation (6H*)
_____ % EQ/Invesco Global Real Assets (9M*)	_____ % Target 2035 Allocation (6I*)
_____ % EQ/Janus Enterprise (08*)	_____ % Target 2045 Allocation (6J*)
_____ % EQ/JPMorgan Value Opportunities (72*)	_____ % Target 2055 Allocation (8Z*)
_____ % EQ/Large Cap Growth Index (82*)	_____ % VanEck VIP Global Resources (8N*)
_____ % EQ/Large Cap Value Managed Volatility (89*)	
_____ % EQ/Loomis Sayles Growth (34*)	

**Total (Total of all investment options selected must equal 100%)**

**Note: The Structured Investment Option and Fixed Maturity Option (FMDs) not included; see Terms and Conditions on next page.**

† The Guaranteed Interest Option (GIO) can only be included in the Asset Rebalancing election if Option II in section 3 was checked.

\* The number in parentheses is shown for internal use only.

## 6. AUTHORIZATION

Please honor the instructions contained in this form in connection with my EQUI-VEST Vantage certificate.

Signature of Participant: \_\_\_\_\_ Date: \_\_\_\_\_

Signature of Plan Administrator (if required by employer): \_\_\_\_\_ Date: \_\_\_\_\_

## TERMS AND CONDITIONS

1. Your asset rebalancing election will include both your variable investment options and the GIO only if you elect Option II. We will transfer amounts among your variable investment options and the GIO to arrive at the allocation percentages in Section 5 on each rebalancing date. If you elect Option I, then only your variable investment options will be included in your asset rebalancing calculation; in order to reallocate the amount in the GIO, you must transfer amounts in or out using TOPS or Online Account Access.
2. Asset Rebalancing does not allow you to include only a portion of your variable investment options or only a portion of your balance in the GIO. If you elect Option I, we will include your entire balance in the variable investment options, and none of your balance in the GIO, to arrive at the allocation percentages in Section 5. Similarly, if you elect Option II, we will include your entire balance in the variable investment options and your entire balance in the GIO to arrive at your allocation percentages.
3. Asset Rebalancing does not affect your allocation for future contributions.
4. Asset Rebalancing is not available for balances in the Structured Investment Option and Fixed Maturity Options (FMOs).
5. If you request a transfer while the rebalancing program is in effect, we will process the transfer as requested; the rebalancing program will remain in effect unless you request that it be canceled in writing.
6. Option II cannot be elected if the Investment Simplifier Option has been elected.
7. Asset Rebalancing does not guarantee a profit or protect against loss in a declining market.
8. We reserve the right to limit allocations and transfers into and out of the GIO.