



# EQUITABLE

For Assistance: Call (800) 628-6673  
Monday – Thursday  
8:00 a.m. – 7:00 p.m. EST  
Friday 8:00 a.m. – 5:00 p.m. EST

## EQUI-VEST® VANTAGE<sup>SM</sup> DOLLAR COST AVERAGING FORM INVESTMENT SIMPLIFIER PROGRAM FOR EQUI-VEST® NJ ACTS and ABP

Mail completed form to:  
**Express Mail:**  
Equitable  
EQUI-VEST® Processing Office  
8501 IBM Dr., Suite 150-GR  
Charlotte, NC 28262-4333  
**Regular Mail:**  
Equitable  
EQUI-VEST® Processing Office  
PO Box 1430  
Charlotte, NC 28201-1430  
**Fax Number:**  
(816) 701-4969

### 1. PARTICIPANT INFORMATION *(Certificate number must be provided to process this request)*

NAME (Last Name, First Name, Middle Initial)

CERTIFICATE NUMBER

ADDRESS

SOCIAL SECURITY NUMBER

CITY/STATE/ZIP

DATE OF BIRTH

DAYTIME PHONE NUMBER

MOBILE PHONE NUMBER

EMAIL ADDRESS

### 2. INVESTMENT SIMPLIFIER STATUS

A. Do you have an existing Investment Simplifier election? *(Check one):*

Yes

If yes, complete sections 1, 2b, 3, 4 and 5.

No

If no, complete sections 1, 2a, 3, 4 and 5.

B. Would you like to:

Change your election

To change, complete sections 1, 2a, 2b, 3, 4 and 5.

Discontinue your election

To discontinue, complete sections 1, 2b and 5.

### 3. CHOOSE ONE OF TWO INVESTMENT METHODS

Each program transfers a given amount automatically from the Guaranteed Interest Option (GIO) to the Variable Investment Options. The GIO earns a fixed rate of interest, while the variable investment options are designed for faster growth.

A.  **Automatic Transfer of Interest. (a minimum of \$7,500 is required and must be maintained in the GIO)**

The monthly sweep of interest from your GIO can be transferred either entirely into a single Variable Investment Option or into more than one Variable Investment Option.

B.  **Automatic Transfer of Fixed-Dollar Amount. (a minimum of \$5,000 is required in the GIO)**

A monthly fixed-dollar amount (\$50 minimum) is transferred from your GIO into one or more Variable Investment Options.

### 4. CHOOSE YOUR VARIABLE INVESTMENT OPTION(S)

A. If you selected **Automatic Transfer of Interest:**

- If you decide to transfer interest to only one Variable Investment Option, write *100%* below, next to your selection, or
- If you wish to transfer interest to *more than one Variable Investment Option*, write whole-number percentages in the spaces next to the Variable Investment Options you have selected. *These percentages must add up to 100%.*

B. If you selected **Automatic Transfer of Fixed-Dollar Amount:**

- Indicate the monthly total amount (whole dollar amount) to be transferred from the GIO \$\_\_\_\_\_
- Select a transfer duration:  12 months  24 months  36 months  until zero balance in GIO
- Provide whole dollar amounts in the spaces next to the Variable Investment Options you have chosen.

#### 4. CHOOSE YOUR VARIABLE INVESTMENT OPTION(S) *(Continued)*

- |  |  |   |
|--|--|---|
| _____ to 1290 VT GAMCO Small Company Value (37*)               | _____ to EQ/International Value Managed Volatility (73*) | _____ to EQ/PIMCO Ultra Short Bond (28*)                                |
| _____ to 1290 VT SmartBeta Equity ESG (9C*)                    | _____ to EQ/Invesco Global (6A*)                         | _____ to EQ/Small Company Index (97*)                                   |
| _____ to 1290 VT Socially Responsible (92*)                    | _____ to EQ/Invesco Global Real Assets (9M*)             | _____ to EQ/Wellington Energy (90*)                                     |
| _____ to EQ/400 Managed Volatility (7L*)                       | _____ to EQ/Janus Enterprise (08*)                       | _____ to American Funds Insurance Series The Bond Fund of America (8V*) |
| _____ to EQ/AB Small Cap Growth (TP*)                          | _____ to EQ/JPMorgan Value Opportunities (72*)           | _____ to Delaware Ivy VIP High Income (8G*)                             |
| _____ to EQ/Aggressive Allocation (18*)                        | _____ to EQ/JPMorgan Growth Stock (32*)                  | _____ to Multimanager Aggressive Equity (T2*)                           |
| _____ to EQ/American Century Mid Cap Value (9J*)               | _____ to EQ/Large Cap Growth Index (82*)                 | _____ to Multimanager Core Bond (69*)                                   |
| _____ to EQ/Balanced Strategy (8Q*)                            | _____ to EQ/Large Cap Value Managed Volatility (89*)     | _____ to Segment Holding Account for S&P 500 1 yr -10% Buffer (V1*)     |
| _____ to EQ/ClearBridge Select Equity Managed Volatility (6F*) | _____ to EQ/Loomis Sayles Growth (34*)                   | _____ to Structured Investment Option S&P 500 1 yr -10% Buffer (V2*)    |
| _____ to EQ/Common Stock Index (T1*)                           | _____ to EQ/MFS International Growth (26*)               | _____ to Target 2015 Allocation (6G*)                                   |
| _____ to EQ/Conservative Allocation (15*)                      | _____ to EQ/MFS Mid Cap Growth Focused Growth (9P*)      | _____ to Target 2025 Allocation (6H*)                                   |
| _____ to EQ/Core Bond Index (96*)                              | _____ to EQ/MFS Technology (9S*)                         | _____ to Target 2035 Allocation (6I*)                                   |
| _____ to EQ/Core Plus Bond (TH*)                               | _____ to EQ/MFS Utilities Series (9T*)                   | _____ to Target 2045 Allocation (6J*)                                   |
| _____ to EQ/Emerging Markets Equity PLUS (8W*)                 | _____ to EQ/Mid Cap Index (55*)                          | _____ to Target 2055 Allocation (8Z*)                                   |
| _____ to EQ/Equity 500 Index (TE*)                             | _____ to EQ/Moderate Allocation (T4*)                    | _____ to VanEck VIP Global Resources (8N*)                              |
| _____ to EQ/Fidelity Institutional AM® Large Cap (9K*)         | _____ to EQ/Money Market (T3*)                           |   |
| _____ to EQ/International Core Managed Volatility (88*)        | _____ to EQ/Morgan Stanley Small Cap Growth (9Y*)        |   |
| _____ to EQ/International Equity Index (TN*)                   | _____ to EQ/PIMCO Global Real Return (8Y*)               |   |

\* The number in parenthesis is shown for data input reasons only.

#### 5. AUTHORIZATION

Please activate this feature within my EQUI-VEST Vantage program. I have read and understand the *Terms and Conditions* appearing below.

Signature of Participant \_\_\_\_\_ Date \_\_\_\_\_

Signature of Plan Administrator (if required by employer) \_\_\_\_\_ Date \_\_\_\_\_

## TERMS AND CONDITIONS for EQUI-VEST

1. You can change the way your savings are invested at any time by sending us a *Request For Service* form or by using the Telephone Operated Program Support (TOPS) System.
2. You cannot elect both the Automatic Transfer of Interest and Automatic Transfer of Fixed-Dollar Amount methods simultaneously.
3. If you request a transfer while the Investment Simplifier program is in effect, we will process the transfer as requested; the Investment Simplifier program will remain in effect unless you request that it be canceled in writing.
4. Investing in the Variable Investment Options does not guarantee a profit or protect against loss in a declining market. (Of course, the principal in the GIO is not affected by the stock market.)
5. We reserve the right to limit allocations and transfers into and out of the GIO.
6. If the Structured Investment Option Segment Holding Account is a designation fund and you cancel the program, you have the option to transfer out of the Segment Holding Account into any of the Investment Options. Any amount not transferred out will be swept into the currently available Segment on the Segment Start Date.
7. Financial transactions will be verified by a confirmation notice. If you do not receive a notice within 14 days of the transaction, please notify us immediately.
8. For your protection, California law requires the following to appear on this form. Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

### ***Automatic Transfer of Interest.***

9. Equitable will verify that a minimum of \$7,500 is in the GIO as of the first business day of the month following receipt of this form by Equitable's EQUI-VEST Processing Office. The first transfer will occur on the last business day of that month, and future transfers will occur on the last business day of each month.
10. If the amount in the GIO falls below \$7,500 at the beginning of the month, no transfer will be made that month. The interest sweep feature will automatically stop if this occurs for two consecutive months.

### ***Automatic Transfer of Fixed-Dollar Amount.***

11. Equitable will verify that a minimum of \$5,000 is in the GIO upon receipt of this form by Equitable's EQUI-VEST Processing Office. The first transfer will occur on the last business day of that month, and future transfers will occur on the last business day of each month.
12. This election will automatically stop under these circumstances: the number of transfers indicated on this form have been completed or the balance in the GIO is zero.

FOR MORE INFORMATION, SEE YOUR EQUI-VEST PROSPECTUS OR PROGRAM SUMMARY AND ANY SUPPLEMENTS, OR CONTACT OUR CUSTOMER SERVICE REPRESENTATIVES, TOLL-FREE, AT 1-800-628-6673.