Fax or mail completed application to: **Group Claims Department** P.O. Box 14294 Lexington, KY 40512-4294 Fax 1-855-864-0530 Phone Number: (866) 274-9887 claimsubmission@groupclaims.com

NOTICE OF CONNECTICUT PAID FAMILY AND MEDICAL LEAVE CLAIM



Equitable Financial Life Insurance Company of America *

For Assistance Call (866) 274-9887

PART A CLAIMANT INFORMATION TO BE COMPLETED BY THE CLAIMANT - PRINT OR TYPE

Name: (Last, First, Middle) as shown on your Social Section	curity card.	Social Security	Number:	3. Birth Date:
4. Gender:	5. Home/Cell N	umber:	6. Marital St	
Male Female Not Designated /Other 7. Preferred E-Mail Address while on leave:	()		Single	Married
7. Preieffed E-iviali Address wrille off leave.				
8. Mailing address : (Street, City or Town, State, Zip Cod	e)			
9. Employer Name:			10. Emplo	yer Telephone Number:
11. Employer Address: (Street, City, State & Zip Code)			12. Occup	ation:
13. Reason for Leave:				
☐ Own Serious Health Condition ☐ Bonding	_ Ad	option	rcare 🗌 Ca	are of Family Member
Care of a Service member Active Duty	Exigency Vi	ctim of Family Viole	nce Organ/	Bone Marrow Donation
14. If leave is to care for a family member, the family memb	per is the employe	e's:		
☐ Child ☐ Grandparent		se's Grandparent		
☐ Spouse ☐ Grandchild	Spou	se's parent		
Sibling Spouse's Child	Paren			
☐ Sibling in Law ☐ Next of Kin	Blood	Relative or Existing	Affinity Relation	onship
Family Member Name:				
15. Is this request for a work-related inliness or injury?	Yes	☐ No		
16. Are you receiving unemployment benefits?	Yes	□ No		
17. Will leave be for a continuous period of time and/or into	ermittent (periodic	e) or a reduced work	schedule?	
Continuous Start Date:	End Date:		_	
☐ Intermittent Identify dates intermittent leave will	l likely be taken, i	f known:		
Reduced Schedule Start Date:	End Date: _		_	
18. Date notice provided to Employer: If	providing less that	an 30 days' advance	notice to the e	mployer, please explain:
19. If the family member is an individual related to you by b loco parentis) you must complete this section.	lood or affinity (in	cluding a person wh	o stood in loco	parentis to you stand in
I am asserting that an affinity relationship exist between _		and	(Applicant Name)	
20. Please describe how this relationship demonstrates a fa	amily relationship.			

Equitable is the brand name of the retirement and protection subsidiaries of Equitable Holdings, Inc., including Equitable Financial Life Insurance Company (NY, NY); Equitable Financial Life Insurance Company of America, an AZ stock company with an administrative office located in Charlotte, NC; and Equitable Distributors, LLC. Equitable Advisors is the brand name of Equitable Advisors, LLC (member FINRA, SIPC) (Equitable Financial Advisors in MI & TN). The obligations of Equitable Financial Life Insurance Company and Equitable Financial Life Insurance Company of America are backed solely by their claims-paying abilities. LC-7787-1 SP-53

PART A (Continued)

21. Other Employer Name:

Other Employment information - If you worked for other employers in Connecticut during the past 15 months, besides the Employer identified in question 9 above, complete the below information. Include full-time and part-time employment. If you had more than 2 employers, list on a separate sheet and attach to this form. Please include wages received for the last four completed calendar quarters immediately prior to the start date of the leave request. A calendar quarter is January – March, April – June, July – September and October - December. Hours worked should reflect total hours worked within each calendar quarter.

22. Telephone Number:

23. Period of Employment:

				()		From:	_
24. Add	ress: (Str	reet, City, State & Zip Code)		25. Work Location:		To:	_
							_
		CALENDAR QUARTER	TOTAL GR	OSS EARNINGS	тот	AL HOURS WORKED	
	1						
	2						
	3						
	4						
26. Oth	er Employ	ver Name:		27. Telephone Numb	er:	28. Period of Employme	
	ress (Stre	eet, City, State & Zip Code)		30. Work Location		From:	_
20. 7tdd	1000 (011)	oot, only, oracle at Zip oodo)		30. WORK Education		To:	_
		CALENDAR QUARTER	TOTAL GR	OSS EARNINGS	тот	AL HOURS WORKED	
	1						
	2						
	3						
	4						
					I		I
31 CED	TIFICATI	ON AND SIGNATURE					
-							
rights. I a	also certify ed on this	rk during the period for which I am o y that the information I completed or form are knowingly false, I may be	n this form is true subject to penaltie	and accurate. I am awas s which may include c	are that riminal	t if any of the information prosecution. I am hereby	I
		obtain any medical, employment ar formation with my employer as may					
Any pers	on who k	nowingly files a statement of claim or son who includes any false or misle	containing any fals	se or misleading inform	ation is	s subject to criminal and c	ivil
civil pena		soft who includes any laise of miste	ading information	оп ап аррпсацоп юг п	isurani	be policy is subject to crim	iiriai ariu
		Transfer (EFT) is our standard meth	od of benefit payr	ment. When making ou	r claim	decision we may contact	you to
J.		J					
SIGN HE	ERE	(Claimant's Signatu	re)		(Date)	
uitabla ia th	o brand no	amo of the retirement and protection sub	<u> </u>	la Haldinga Ina ingluding	•		Company (NI

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Certification of Serious Health Condition



Equitable Financial Life Insurance Company

Connecticut Paid Family and Medical Leave (CT PFML)

For Assistance Call (866) 274-9887

of America '

Section I - For Completion by Employee: Complete the Employee Information section and give it to your health care provider to complete. Have your provider return the completed form to you. You will need to return this form to Equitable Financial Life Insurance Company of America as soon as possible so that we can evaluate your claim. Forms can be mailed to: **Group Claims Department** P.O. Box 14294 Lexington, KY 40512-4294 Fax or Email to: Toll Free Fax 1-855-864-0530 Phone Number: (866) 274-9887 **Employee Information** claimsubmission@groupclaims.com Employee's Name: Last 4 digits of Social Security Number: Leave ID: Date of Birth: Employer's Name: Today's Date: Employee's Job Title: Regular Work Schedule: Please identify the reason for leave: Adoption **Bonding** Fostercare Own Serious Health Condition Pregnancy Active Duty Exigency Care of Family Member Care of a Service Member Victim of Family Violence Organ/Bone Marrow Donation If you are applying for your own condition, is your condition work related? No If you are applying to care for a family member, identify the family member who is experiencing a serious health condition: Grandchild Child Grandparent Spouse Spouse's Grandparent Spouse's Parent Sibling Sibling in Law Spouse's Child Parent Next of Kin Blood relative or exiting affinity relationship Patient's Full Name: Date of Birth: If the family member is an individual related to you by blood or affinity (including a person who stood in loco parentis to you or for whom you stand in loco parentis) you must complete this section. I am asserting that an affinity relationship exist between _ and (Applicant Name) Please describe how this relationship demonstrations a family relationship.

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LC-7788-1 **SP-53**

Section II - For Completion by the Health Care Provider: (See Part A and Part B attached) INSTRUCTIONS to the HEALTH CARE PROVIDER: Please read the definition of a serious health condition below and refer to it while filling out the form. This form should be filled out by the healthcare provider of the

patient, who may or many not be the employee. For the employee to qualify for paid leave, the patient must have

a serious health condition. Answer all questions fully and completely.

The Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits employers and other entities covered by GINA Title II from requesting or requiring genetic information of an individual or family member of the individual, except as specifically allowed by this law. To comply with this law, we are asking that you not provide any genetic information when responding to this request for medical information. "Genetic information" as defined by GINA, includes the manifestation of disease or disorder in family members of the individual, an individual's family medical history, the results of an individual's or family member's genetic tests, the fact that an individual or an individual's family member sought or received genetic services and genetic information of a fetus carried by an individual's family member or an embryo lawfully held by an individual or family member receiving assistive reproductive services.

Provider's name:	
Provider's Business Address:	
Type of Practice/Medical Specialty:	
Telephone Number:	Fax Number:

Definition of a serious health condition

A serious health condition means an illness, injury, impairment or physical or mental condition that involves:

- 1. Inpatient care or
- 2 Continuing treatment by a health care provider

Inpatient care

An overnight stay in a hospital, hospice, or residential medical care facility, including any period of incapacity, or any subsequent treatment in connection with such inpatient care.

Continuing treatment by a health care provider for a condition that fits any of the following descriptions: A. A period of incapacity of more than three consecutive calendar days, and any subsequent treatment or period of incapacity relating to the same condition, that also involves:

- . Two or more in-person visits to a health care provider or by a nurse or physician's assistant under direct supervision of a health care provider, or by a provider of health care services (e.g. physical therapist) under orders of, or on referral by, a health care provider for treatment within 30 days of the first day of incapacity, unless extenuating circumstances exist. The first visit must be within seven days of the first day of incapacity; or
- . At least one in-person visit to a health care provider for treatment within seven days of the first day of incapacity, which results in a regimen of continuing treatment under the supervision of the health care provider. Any incapacity due to pregnancy or prenatal care B. Any incapacity due to a chronic condition, which is a condition that:
- · Requires periodic visits for treatment by a health care provider or by a nurse or physician's assistant under direct supervision of a health care provider at least twice a year; and
- Continues over an extended period of time (including recurring episodes of a single underlying condition); and
- · May cause episodic rather than a continuing period of incapacity (e.g. asthma, migraines headaches, diabetes, epilepsy)
 - C. A period of incapacity which is permanent or long-term due to a condition for which treatment may not be effective, but which requires the continuing supervision of a health care provider (e.g. Alzheimer's disease, terminal states of cancer, severe stroke)
 - D. Restorative surgery after an accident or other injury; or,
- · A condition that would likely result in a period of incapacity of more than three consecutive full calendar days if the employee or employee's family member did not receive treatment
 - E. Any period of incapacity due to pregnancy including prenatal care
 - F. Serious Injury or Illness that was incurred in the line of duty on active duty in the Armed Forces
 - G. Patient requires medical care as a result of organ/bone marrow donation

Incapacity

An inability to perform the functions of one's job due to the serious health condition. For unemployed applicants, it means an inability to perform the function of their most recent position or other suitable employment.

PART A - Patient's Supporting Information (For Completion by the Health Care Provider)

1) Does the patient have a serious health condition?	Yes No
2) Which of the following apply to the patient's serious h	ealth condition? (Check all that apply)
The Condition: Requires or did require inpatient care	Is chronic, requires treatments at least twice a year, and may require period absences
Has incapacitated or will incapacitate the patient for more than three consecutive full calendar days	Is long-term and requires ongoing medical supervision, with or without Active treatment
Requires two or more medical visits within 30 days	Requires multiple treatments and would lead to a period of incapacity without treatment
Requires one medical visit, plus regimen of care	Pregnancy
	tanding of how the condition may affect the patient's ability ations, medical visits, relevant side effects to medication,
4) When did the condition begin? This is the start of the or job. If it cannot be determined, provide a start date to	
This condition began within the past 12 months.	
Start Date:	This condition began more than one year ago.
5) Is the patient's serious health condition a pregnancy- <u>prior to</u> giving birth, including prenatal care?	related issue that results in some level of incapacity
Yes Expected delivery date:	_ No
6) Is this health condition a job-related injury?	s No
7) If the patient is not the employee, is this health condit	·
Yes No N/A, the patient is the en	<u> </u>
8) If the patient is not the employee, will the patient required Yes No N/A, the patient is the en	•

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PART B - Ability to Work: (For Completion by the Health Care Provider)

specific as you can be; terms like "unknown" or "indeterminate" may not be enough to approve a claim for paid leave benefits. This section establishes the start and end dates when the employee needs leave due to their own incapacity of the incapacity of a family member because of the serious health condition. This date range is the leave period. A leave period cannot be approved for longer than six months. If the condition requires additional leave after six months or a re-evaluation, the employee can submit a new application at that time with a new certification. 1) When will the employee first need to take leave? This is the first day of missed time from work, regardless of whether it is a partial or a full day. If any time has already been missed because of this condition, enter the earliest absence. Start Date: 2) Do you know the last day the employee will need leave for the patient's condition? If you cannot determine this, when do you recommend re-evaluating? (Check only one) The last day the employee will need leave is: No The patient's condition should be re-evaluated on: 3) During this leave period, which of these patterns of leave do you expect the employee to need as a result of the patient's condition? Continuous leave (e.g., Completely unable to work for consecutive, uninterrupted days) Reduced Schedule leave (e.g., A consistent but reduced schedule for multiple weeks) Intermittent leave (e.g., Episodic time off at irregular intervals for flare-ups or unexpected aftercare) 4) If the patient is the employee is it your medical opinion that the patient must refrain from working, either partly or completely, between the dates you provided, as a result of their serious health condition? Yes No 5) Describe specific activities the patient should refrain from, either partly or completely, as a result of their serious health condition. If a patient must be absent from their job for treatment, state this directly. If the patient needs to be absent for any reason other than receiving treatment, describe specific tasks, actions, or function they cannot perform due to their condition. 6) If the patient is a family member is it your medical opinion the patient needs care from the employee seeking leave, as a result of their serious health condition? No Yes

Provide your best estimate based on your medical knowledge, experience, and examination of the patient. Be as

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PART B - Ability to Work: (For Completion by the Health Care Provider) - Continued

Continuous leave needed	When will the continuous leave period start and	i end?
Start Date:	End Date:	
Reduced leave schedule r	needed: When will the reduce leave schedule sta	art and end?
Start Date:	End Date:	
How many hours should the emp	loyee take off per week?	
Hour(s) per day	Days per week	
Intermittent leave needed	When will the intermittent leave schedule start a	and end?
Start Date:	End Date:	
Estimate the frequency and dura recovery period:	tion of intermittent leave needed, if any, over the	next 6 months including any
Frequency:times per	week(s) ormonth(s)	
Duration: hour(s) or	day(s) per episode/treatment	
Dates of scheduled treatment(s)/	appointment(s):	
	this form is true and correct, that I have examined t my ability, and that I am a health care provider auth	
ignature of Health Care Provide	r	Date
ignature of Employee		Date

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PART B - Ability to Work: (Non-Medically based leave requests)

Victim of Family Violence Leave Requests Please provide a description of the purpose of the leave: I certify that the information provided in this form is true and correct. Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison. **Signature Date Employee Signature** Victim of Family Violence Only - if this request is to take time to support a victim of family violence, identify the documentation to be submitted: Signed written statement from applicant certifying that the applicant is taking leave for one of the following reasons: 1.To seek medical care or psychological or other counseling for physical or psychological injury or disability, 2.To obtain services from a victim services organization, 3. To relocate due to such family violence, or 4.To participate in any civil or criminal proceedings related to or resulting from such family violence. A police or court record related to the family violence; or A signed written statement that the applicant is a victim of family violence, provided such statement is from an employee or agent of a victim service organization, an attorney, an employee of the Judicial Branch's Office or the Victim Services or the Office of the Victim Advocate, or a licensed medical professional or other licensed professional from whom the applicant sought assistance with respect to family violence. (Must complete Third Party Attestation) Third Party Attestation for Victim of Family Violence Requests I attest that I am a/an: Attorney Employee of the Judicial Branch's Office of the Victim Services or the Office of the Victim Advocate Licensed Medical Professional Other Licensed Professional I am attesting that the applicant named in this document is a victim of family violence. **Organization Name Printed Name Signature Date Signature** Request for bonding, adoption or foster care - if this request is to take time to bond with a child, please provide the following: Child's Placement Date: Child's Birth Date: ____ Attach any of the following documents in support of this bonding request: Birth Certification Crib Card Hospital Discharge Papers confirming birth date Statement from the Child's Health Care Provider stating the Child's birth date Statement from the Health Care Provider of the person who gave birth stating the Child's birth date Confirmation of the placement date for adoption or foster care from one of the following sources: · Healthcare provider • Adoption/Foster Care Agency or the CT Department of Children and Families

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NOTICE OF CONNECTICUT PAID FAMILY AND MEDICAL LEAVE CLAIM PART C: TO BE COMPLETED BY YOUR EMPLOYER If Terminated, provide date of termination 1. Date of Hire: 2. Employment Status: Active Terminated 4. PFML Leave start date: 5. Last date worked: 3. Does this employee meet the definition of a Connecticut Employee / Worker? No 7. Is the Employee taking FMLA concurrently with PFL? 6. Did employee work a full day? If no, how many hours worked? Yes Yes No No Continuous Intermittent 8. Leave Type: Reduced Schedule 9. If applicable, please advise if your employee has been approved for CT PFML benefits (not administered by Equitable Financial Life Insurance Company of America) at all in the 12 months preceding the start date of this leave? No 10. If yes, please indicate the type of leave taken and provide the inclusive dates as well as the total time approved: Note: PFL = Paid Family Leave PML = Paid Medical Leave **LEAVE TYPE FROM THROUGH HOURS APPROVED** PFL - Bond with a Child, Care of Family Member, Caregiver of a Service Member, Military Exigency PFL - Right to Leave Work PFL - Own Serious Health Condition, Pregnancy, Organ/Bone **Marrow Donation** PML - Absence due to Pre-Partum Pregnancy Incapacity 11. Normally Scheduled Work Days: Sun Mon Tues Wed Thurs Sat 12. Normally Scheduled Hours per week: 13. For variable work schedules, please provide weekly average of the hours and days worked over the 12 weeks prior to the beginning of the leave period: **AVG Weekly Hours** AVG Days per week 14. Taxable Percent of Benefit: 15. Has the employee applied for, or is receiving, Worker's Compensation or Unemployment payments/benefits? No Yes **Earnings and Hours Worked:** 16. Please complete the grid below using the following guidance: Total Gross Earnings Received and Total Number of Hours Worked, subject to CT PFML Law, by quarter during the base period. Base Period means: the first 4 of the last 5 completed calendar quarters immediately preceding the start date of the Paid Family and Medical Leave. If employee has been working for less than the qualifying base period, provide the number of weeks they worked and/or were paid for. **CALENDAR QUARTER TOTAL GROSS EARNINGS TOTAL HOURS WORKED** 1 2 3 4 Please advise if there are Company Shutdowns scheduled and, if so, provide the dates: 18. Are you continuing to pay the employee during this period of disability? If yes, please provide dates? Through:

NOTE: This excludes any payments that are supplemental in nature (so long as, combined with this benefit, it does not exceed the employee's regular weekly pay). Completed by: Date: LC-7787-1 SP-53 Page 9 of 9 07/2024

Electronic Funds Transfer (EFT) Request Form

Instructions	Name:	
Read the Terms and Conditions listed		
below.	Telephone Number: ()	-
2. Enter your name,	Employee ID:	
address, home telephone number	Name of Pank	
and Employee ID.		
3. Complete the bank and account	Bank Telephone Number: () -
information for your Electronic Funds	Type of Account (select o	
Transfer request.	Checking:	Saving:
4. You and all other	Account Number:	Account Number:
parties to the account specified	Bank Routing Number:	
must sign this form.	Attach a voided blank person	
5. Return the completed form to Claims Office.	Indicate any other names o	on the account selected:
	AUTHORIZATION	
Note: Failure to provide the requested information may affect the processing of this form and may delay or prevent the receipt of payments through the EFT Program.	Administrator, hereinafter of initiate, if necessary, debit error) to my (our) account in hereinafter called Depositor I (we) acknowledge that the account must comply with is to remain in full force an TPA has received written in the initial init	urance Company" and/or its Third Party called "TPA", to initiate credit entries (and to entries and adjustments for credit entries made in indicated above and the Depository named above, ory, to credit and/or debit the same to such account e origination of ACH transactions to my (our) the provisions of U.S. law. This authorization d effect until The Insurance Company and/or its notice from me (us) of its termination in such time afford The Insurance Company and/or its TPA ble opportunity to act on it.
	Signature(s):	Date:

SP- 03/2018

TERMS AND CONDITIONS

The Insurance Company and/or its TPA will not be responsible for any banking fees charged for direct deposit or electronic funds transfer.

I understand that this agreement may be terminated by me upon written notice to The Insurance Company and/or its TPA.

The cancellation will be processed for the time period following receipt of the notice.

I understand that a change in the title of this account which alters the interest of any party terminates this authorization and that a new authorization must then be submitted to continue direct deposit/EFT.

I understand that it is my responsibility to inform The Insurance Company and/or its TPA of any address changes immediately.

I further understand that any benefit payment forwarded to the financial institution covering a period of time after my death will be refunded to The Insurance Company and/or its TPA. I agree that the financial institution shall hathe right of offset for such a refund.

I authorize the financial institution specified in this authorization to provide The Insurance Company and/or its TPA with my home address and the names of any joint account holders for the account specified here in.

I understand that I am responsible for verifying the accuracy of my account data and for promptly notifying The Insurance Company and/or its TPA of any errors or changes including termination of my EFT request.

SPECIAL NOTICE TO OTHER PARTIES TO THIS ACCOUNT.

As a party to this account, I understand that I am personally liable, both individually and as a member of the group of parties to this account, for the full amount of all benefit payments covering any period after the death of the disability benefit recipient. This is a liability to The Insurance Company and/or its TPA. If I am entitled to any benefit as the beneficiary of the disability benefit recipient, the amount of my liability may be deducted from the amount payable to me. I agree that the financial institution shall have the right of offset for such a refund, and I authorize the financial institution to provide The Insurance Company an/or its TPA with my home address.

CANCELLATION

The agreement represented by this authorization remains in effect until cancelled by the recipient by notice to The Insurance Company and/or its TPA or by the death or legal incapacity of the recipient. Upon cancellation by the recipient, the recipient should notify the receiving financial institution that he/she is doing so. The agreement represented by this authorization may be cancelled by the financial institution by providing the recipient a written notice 30 days in advance of the cancellation date. The recipient must immediately notify The Insurance Company and/or its TPA if the authorization is cancelled by the financial institution. The financial institution can not cancel the authorization by advice to The Insurance Company and/or its TPA.

f this EFT Agreement COUNT.
- D. (
Date
 Date:

SP- 03/2018