



## EQUITABLE

### **Equitable 2021 Political Engagement Report**

#### **A Note from José Ramón González, Chief Legal Officer and Secretary of Equitable**

I am pleased to announce the publication of Equitable's first annual Political Engagement Report.<sup>1</sup> This Report follows the initial publication, in September 2021, of our Political Engagement Statement, and the publication of our first ESG Report in December 2021, and further demonstrates Equitable's commitment to transparency with our clients, our shareholders, and other stakeholders. Prior to its publication, this Report has been provided to the Nominating and Corporate Governance Committees of the Boards of Directors of Equitable Holdings, Inc. and Equitable Financial Life Insurance Company. These Committees are comprised solely of independent directors.

As a leading retirement and financial services company, Equitable is committed to constructive dialogue through active engagement with federal, state, and local government officials on issues that impact the financial and retirement security of Americans, and with respect to a wide array of issues that impact our ability to serve our clients and other stakeholders, such as insurance and securities laws and regulations and tax policy. These advocacy efforts are undertaken in compliance with applicable laws and regulations, and in accordance with Equitable Holdings, Inc.'s Code of Business Conduct and Ethics and our Political Engagement Statement, both of which are available [here](#).

This report details the recipients of all contributions from the federal political action committee sponsored by Equitable Holdings, Inc., Equitable PAC, during the calendar year 2021, including all individual candidate committees, legislative leadership and party committees, and PACs (including industry PACs). Equitable PAC is funded solely by voluntary contributions from eligible employees and financial professionals. Equitable made no political contributions using corporate funds during 2021. This report also discloses trade associations to which Equitable paid membership dues of \$50,000 or more in 2021, and the portion of those funds attributed to political or lobbying activities.<sup>2</sup>

José Ramón González  
May 23, 2022

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<sup>1</sup> This Report describes the activities of the subsidiaries of Equitable Holdings, Inc. comprising its Equitable franchise, including Equitable Financial Life Insurance Company, Equitable Financial Life Insurance Company of America, and Equitable Advisors, LLC (collectively referred to herein as "Equitable") and to Equitable Holdings, Inc. where specified. It does not apply to AllianceBernstein L.P., AllianceBernstein Holding L.P., and their subsidiaries (collectively, "AB"). For information relating to AB, please review AB's Statement on Political Influence [here](#).

<sup>2</sup> Past support of a candidate, PAC, or trade association does not mean that Equitable or Equitable PAC are currently providing similar support, and some support listed in this report may have discontinued.

## Recipients of Contributions from Equitable PAC in 2021

<b>Name of Federal Candidate</b>	<b>Contribution Amount</b>
Rep. Andy Barr (R-KY)	\$2,500
Rep. Kevin Brady (R-TX)	\$2,500
Sen. Bill Cassidy (R-LA)	\$2,000
Rep. Liz Cheney (R-WY)	\$1,000
Sen. Mike Crapo (R-ID)	\$2,500
Rep. Tom Emmer (R-MN)	\$1,000
Rep. Drew Ferguson (R-GA)	\$1,000
Rep. Jimmy Gomez (D-CA)	\$1,000
Rep. Josh Gottheimer (D-NJ)	\$2,500
Sen. Maggie Hassan (D-NH)	\$1,000
Rep. Brian Higgins (D-NY)	\$2,500
Rep. French Hill (R-AR)	\$1,000
Rep. Trey Hollingsworth (R-IN)	\$1,000
Rep. Steve Horsford (D-NV)	\$2,000
Rep. Steny Hoyer (D-MD)	\$2,500
Rep. Bill Huizenga (R-MI)	\$2,500
Rep. Hakeem Jeffries (D-NY)	\$2,500
Rep. John Katko (R-NY)	\$1,000
Rep. Dan Kildee (D-MI)	\$1,000
Rep. Ron Kind (D-WI)	\$2,500
Rep. Adam Kinzinger (R-IL)	\$1,000
Rep. Darin LaHood (R-IL)	\$1,000
Rep. John Larson (D-CT)	\$2,500
Rep. Al Lawson (D-FL)	\$1,000
Sen. Joe Manchin (D-WV)	\$2,500
Rep. Patrick McHenry (R-NC)	\$2,500
Rep. Gregory Meeks (D-NY)	\$1,000
Sen. Bob Menendez (D-NJ)	\$2,500
Rep. Gwen Moore (D-WI)	\$2,500
Rep. Joe Morelle (D-NY)	\$1,000
Sen. Patty Murray (D-WA)	\$1,000
Rep. Stephanie Murphy (D-FL)	\$2,500
Rep. Donald Norcross (D-NJ)	\$1,000
Rep. Bill Pascrell (D-NJ)	\$1,000

**Recipients of Contributions from Equitable PAC in 2021 (Continued)**

<b>Name of Federal Candidate</b>	<b>Contribution Amount</b>
Rep. Linda Sanchez (D-CA)	\$2,000
Sen. Ben Sasse (R-NE)	\$1,000
Sen. Chuck Schumer (D-NY)	\$5,000
Rep. David Scott (D-GA)	\$2,500
Sen. Tim Scott (R-SC)	\$1,500
Rep. Terri Sewell (D-AL)	\$2,000
Sen. Kyrsten Sinema (D-AZ)	\$2,500
Sen. Tina Smith (D-MN)	\$2,500
Rep. Brian Steil (R-WI)	\$1,000
Rep. Steve Stivers (R-OH)	\$2,500
Rep. Tom Suozzi (D-NY)	\$2,500
Rep. Claudia Tenney (R-NY)	\$3,500
Sen. Thom Tillis (R-NC)	\$2,500
Sen. Chris Van Hollen (D-MD)	\$1,500
Rep. Ann Wagner (R-MO)	\$1,000
Sen. Ron Wyden (D-OR)	\$2,500
Sen. Todd Young (R-IN)	\$1,500

Note: A contribution of \$1,000 to Rep. Anthony Gonzalez (R-OH) was subsequently returned by the candidate after he announced that he would not seek reelection.

<b>Name of Political Action Committee</b>	<b>Contribution Amount</b>
American Council of Life Insurers PAC	\$5,000
Insured Retirement Institute PAC	\$5,000
Congressional Black Caucus PAC	\$2,500

<b>Total 2021 Contributions to Candidates/Political Action Committees</b>	\$108,500
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## Membership Dues Paid in 2021 to Trade Associations of \$50,000 or More

Recipient	Total Amount	Allocation to Lobbying and/or Political Activities <sup>3</sup>
Alliance for Lifetime Income	\$1,100,000	\$0
American Council of Life Insurers <sup>4</sup>	\$1,166,000	\$177,232
American Retirement Association	\$162,000	\$16,200
Association of California Life and Health Insurance Companies	\$52,000	\$3,640
Finseca	\$250,000	\$62,500
Insured Retirement Institute	\$227,500	\$34,125
Investment Company Institute	\$569,735	\$40,451
Life Insurance Council of New York	\$213,300	\$55,458
LL Global, Inc. (LIMRA and LOMA)	\$547,961	\$0

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<sup>3</sup> Non-deductible portion of membership dues computed pursuant to Section 162(e) of the Internal Revenue Code and reported by trade association to Equitable. Certain allocations were expressed to Equitable as a percentage or estimated percentage of total dues.

<sup>4</sup> Based on aggregate payments by Equitable Financial Life Insurance Company, Equitable Financial Life Insurance Company of America, and Equitable Financial Life & Annuity Company.