

**CONSENT TO TRANSFER YOUR INSURANCE AND/OR INVESTMENT  
RELATIONSHIP FROM STIFEL INDEPENDENT ADVISORS, LLC  
TO EQUITABLE ADVISORS, LLC**

**Important information regarding your new or existing insurance and/or investment  
relationship with Stifel Independent Advisors, LLC**

Thank you for choosing Stifel Independent Advisors, LLC (“SIA”) for your securities and/or insurance brokerage and investment advisory needs. You should know SIA is about to undertake a change that will transition your new account and/or investment relationship to Equitable Advisors, LLC (“Equitable Advisors”) on or about **August 21, 2026**. As a result of a transaction that was executed on February 2, 2026, SIA and Equitable Advisors are now “sister companies,” with each ultimately owned by Equitable Holdings, Inc. Equitable plans to transfer your Financial Professional and the related securities and insurance brokerage and investment advisory business of SIA to Equitable Advisors (and, for insurance business, its insurance agency affiliate, Equitable Network, LLC) to consolidate its securities business in a single firm, Equitable Advisors (the “Conversion Transaction”). After the Conversion Transaction takes place, SIA will wind down its business and cease operations as a broker-dealer and investment adviser. Your current Financial Professional is expected to become associated and registered with Equitable Advisors.

The details of that transition were recently provided to existing SIA clients by mail, and we are providing these details to you (either because you are establishing a new relationship, or you have an existing relationship that recently has changed) through one of six customized cover letters to clients (“Cover Letter”), each with an attached information packet (“Information Packet”). The Cover Letters and Information Packets are available via the link or QR code:

<http://equitableadvisors.com/stifel/transition-documents> (the “Website”).



**By completing the application process and opening an account at SIA, you are consenting to (i) the transition of your applicable account and securities, and insurance brokerage and advisory relationships to Equitable Advisors/Equitable Network, and (ii) all the terms and conditions detailed in the Cover Letter(s) and Information Packet(s) that apply to you, as well as the other supporting documents and disclosures you receive during the new account and/or transition process.**

**PLEASE COMPLETE AND SIGN THE CUSTOMER APPROVAL ON THE FOLLOWING PAGE, AND SEND IT VIA EMAIL OR HARD COPY TO YOUR SIA FINANCIAL PROFESSIONAL.**

**CUSTOMER APPROVAL OF TRANSFER TO EQUITABLE ADVISORS**

By signing below, I confirm the following:

I was able to access the Website, and I have reviewed the following Cover Letter(s) and Information Packet(s) (check all that apply):

- Stifel Brokerage (Packet #1)
- Stifel Horizon & Solutions Programs (Packet #2)
- Stifel Opportunity, Fundamentals, and CAP Programs (Packet #3)
- Stifel Direct Business (Packet #4)
- Stifel Summit Program (Packet #5)
- Stifel Vantage & Connect Programs (Packet #6)

I have reviewed and understand the materials I have indicated above, and this Consent to Transfer, and I hereby opt in and agree to the transfer of my account(s) and insurance and/or investment relationships to Equitable Advisors, as described in the above-indicated Cover Letter(s), Information Packet(s) and this Consent to Transfer. I have had an opportunity to ask my Financial Professional questions about the Conversion Transaction. By signing below, I agree to transfer my investment advisory relationship to Equitable Advisors, direct held insurance or securities account(s) to Equitable Network, LLC or Equitable Advisors, respectively, and/or securities brokerage account to Equitable Advisors, as introducing broker, and LPL Financial, LLC, as clearing broker on the Conversion Date.

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_